

# INFORMATION DISCLOSURE CITATION

**COPY**

PTO-1449

ATTY. DOCKET NO.  
M-10249-15C US

SERIAL NO. 10/674737  
To be assigned

APPLICANT  
GUTERMAN ET AL.

FILING DATE  
To be assigned


GROUP 2822  
To be assigned

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
MP	RE 32,401	04/14/87	Beilstein, Jr. et al.	365	182	02/27/81
MP	4,074,304	02/14/78	Shiba	357	59	03/02/77
MP	4,151,020	04/24/79	McElroy	148	187	01/26/77
MP	4,151,021	04/24/79	McElroy	148	187	01/26/77
MP	4,184,207	01/15/80	McElroy	365	185	07/12/78
MP	4,202,044	05/06/80	Beilstein, Jr. et al.	365	182	06/13/78
MP	4,271,421	06/02/81	McElroy	357	41	02/12/79
MP	4,302,766	11/24/81	Guterman et al.	357	41	01/05/79
MP	4,331,968	05/25/82	Gosney, Jr. et al.	357	23	03/17/80
MP	4,380,057	04/12/83	Kotecha et al.	365	185	10/27/80
MP	4,456,971	06/26/84	Fukuda et al.	364	900	02/04/82
MP	4,462,090	07/24/84	Iizuka	365	185	06/30/82
MP	4,488,265	12/11/84	Kotecha	365	189	06/30/82
MP	4,561,004	12/24/85	Kuo et al.	357	23.5	03/01/82
MP	4,575,925	03/18/86	Kanbara et al.	29	576	11/28/84
MP	4,583,201	04/15/86	Bertin et al.	365	104	09/08/83
MP	4,616,402	10/14/86	Mori	29	571	05/02/85
MP	4,622,656	11/11/86	Kamiya et al.	365	185	12/15/83
MP	4,652,897	03/24/87	Okuyama et al.	357	23.5	07/15/85
MP	4,663,827	05/12/87	Nakahara	29	571	12/19/85
MP	4,677,736	07/07/87	Brown	29	571	04/17/86
MP	4,794,565	12/27/88	Wu et al.	365	185	09/15/86
MP	4,803,529	02/07/89	Masuoka	357	23.5	11/13/81
EXAMINER			DATE CONSIDERED 4/04			

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				APPLICANT GUTERMAN ET AL.			
				FILING DATE To be assigned		GROUP 2822 <del>To be assigned</del>	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
MP	4,805,142	02/14/89	Bertin et al.	365	100	07/01/86	
MP	4,811,285	03/07/89	Walker et al.	365	45	01/11/88	
MP	4,811,294	03/07/89	Kobayashi et al.	365	189	06/20/86	
MP	4,821,236	04/11/89	Hayashi et al.	365	185	02/09/87	
MP	4,943,962	07/24/90	Imamiya et al.	365	230.08	10/28/88	
MP	4,990,979	02/05/91	Otto	357	23.5	04/27/89	
MP	4,997,781	03/05/91	Tigelaar	437	43	02/14/89	
MP	5,011,787	04/30/91	Jeuch	437	52	07/07/89	
MP	5,017,515	05/21/91	Gill	437	229	10/02/89	
MP	5,028,553	07/02/91	Esquivel et al.	437	43	06/05/90	
MP	5,029,130	07/02/91	Yeh	365	185	01/22/90	
MP	5,045,488	09/03/91	Yeh	437	43	01/2/90	
MP	5,057,886	10/15/91	Riemenschneider et al.	357	23.5	12/21/88	
MP	5,067,108	11/19/91	Jenq	365	185	01/22/90	
MP	5,070,032	12/03/91	Yuan et al.	437	43	03/15/89	
MP	5,081,054	01/14/92	Wu et al.	437	43	02/05/91	
MP	5,081,056	01/14/92	Mazzali et al.	437	43	04/06/90	
MP	5,095,344	03/10/92	Harari	357	23.5	06/08/88	
MP	5,147,816	09/15/92	Gill et al.	437	52	07/26/91	
MP	5,172,338	12/15/92	Mehrotra et al.	365	185	04/11/90	
MP	5,204,841	04/20/93	Chappell et al.	365	230.05	04/23/92	
MP	5,218,569	06/08/93	Banks	365	189.01	02/08/91	
MP	5,225,367	07/06/93	Kakiuchi et al.	437	43	07/09/91	
EXAMINER 				DATE CONSIDERED 4/04			

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<b>INFORMATION DISCLOSURE</b> <b>COPY CITATION</b> PTO-1449				ATTY. DOCKET NO. M-10249-15C IIS		SERIAL NO. 10/674,737 <del>To be assigned</del>	
				APPLICANT GUTERMAN ET AL.			
				FILING DATE To be assigned		GROUP 2822 <del>To be assigned</del>	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
MP	5,247,485	09/21/93	Ide	365	230.01	03/26/92	
MP	5,264,384	11/23/93	Kaya et al.	437	43	08/30/91	
MP	5,278,439	01/11/94	Ma et al.	257	319	08/29/91	
MP	5,279,982	01/18/94	Crotti	437	48	07/23/91	
MP	5,280,446	01/18/94	Ma et al.	365	185	06/08/92	
MP	5,284,784	02/08/94	Manley	437	43	10/02/91	
MP	5,297,148	03/22/94	Harari et al.	371	10.2	10/20/92	
MP	5,313,421	05/17/94	Guterman et al.	365	185	01/14/92	
MP	5,323,355	06/21/94	Kato	365	230.01	01/22/92	
MP	5,355,347	10/11/94	Cioaca	365	230.08	11/08/93	
MP	5,377,147	12/27/94	Merchant et al.	365	200	08/11/93	
MP	5,378,643	01/03/95	Ajika et al.	437	43	12/31/92	
MP	5,396,468	03/07/95	Harari et al.	365	218	11/08/93	
MP	5,412,600	05/02/95	Nakajima	185		10/08/92	
MP	5,420,060	05/30/95	Gill et al.	437	52	09/13/93	
MP	5,468,663	11/21/95	Bertin et al.	437	43	03/16/95	
MP	5,491,657	02/13/96	Haddad et al.	365	185.27	02/24/95	
MP	5,606,521	02/25/97	Kuo et al.	365	149	06/28/95	
MP	5,629,890	05/13/97	Engh	365	185.03	09/14/94	
MP	5,656,840	08/12/97	Yang	316		04/27/95	
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MP	5,712,180	01/27/98	Guterman et al.	437	43	02/28/96	
EXAMINER <i>[Signature]</i>			DATE CONSIDERED 4/04				

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EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
MP	5,745,475	04/02/98	Ohno et al.	369	275.4	06/27/97
MP	5,818,757	10/06/98	So et al	635	185.18	07/22/96

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EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
MP	WO 97/22123	06/19/97	PCT International Application	G11C	11/34	X	
MP	EP0725403A1	08/07/96	European Patent Application	G11C	11/56	X	
MP	WO 90/12400	10/18/90	PCT International Application	G11C	7/00	X	
MP	EP0373830	06/20/90	European Patent Application				X
MP	2-360	01/05/90	Japan				X
MP	6-118,1168	08/13/86	Japan				X
MP	1-304,784	12/08/89	Japan				X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

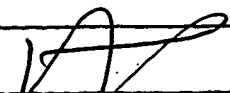
MP	K. Naruke et al., "A New Flash-Erase EEPROM Cell with A Sidewall Select-Gate On Its Source Side", IEDM 89-603, IEEE (1989), pp. 603-606.
MP	M. Kamiya et al., "EPROM Cell with High Gate Injection Efficiency", IEDM 82-741, IEEE (1982), p. 741-744.
MP	J. Van Houdt, et al., "A 5-Volt-Only Fast-Programmable Flash EEPROM Cell with A Double Polysilicon Split-Gate Structure", Interuniversity Microelectronics Center (February 1991).
MP	Yale Ma, et al. "A Dual-bit Split-Gate EEPROM (DSG) Cell in Contactless Array for Single-Vcc High Density Flash Memories", IEDM 94-57, IEEE (1994), pp. 57-60.
MP	G.S. Alberts et al., "Multi-Bit Storage FET EAROM Cell", G.S. IBM Technical Disclosure Bulletin, Vol. 24, No. 7A (December 1981), pp. 3311-3314.
MP	Takeshi Nakayama, "A 5-V-only One-Transistor 256K EEPROM with Page-Mode Erase", IEEE (August 1989), Vol. 24, No. 4, pp. 911-915.

EXAMINER

DATE CONSIDERED

4/84

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				APPLICANT GUTERMAN ET AL.			
				FILING DATE To be assigned		GROUP <u>2822</u> <del>To be assigned</del>	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
<u>MP</u>	Mike McConnell, et al., "An Experimental 4-Mb Flash EEPROM with Sector Erase", IEEE (April 1991), Vol. 26, No. 4, pp. 484-489.						
<u>MP</u>	Masaki Momodomi, et al., "A 4-Mb Flash EEPROM with Tight Programmed V <sub>t</sub> Distribution", IEEE (April 1991), Vol. 26, No. 4, pp. 492-495.						
<u>MP</u>	Fujio Masuoka, et al., "A 256-kbit Flash E <sup>2</sup> PROM Using Triple-Polysilicon Technology", IEEE (August 1987)						
<u>MP</u>	Dumitru Cioaca, et al., "A Million-Cycle 256K EEPROM", IEEE (October 1987), Vol. SC-22, No. 5, pp. 684-692.						
<u>MP</u>	Cristiano Calligaro, et al., "A New Serial Sensing Approach for Multistorage Non-Volatile Memories", IEEE (1995), pp. 21-26.						
<u>MP</u>	Min-hwa Chi, et al., "Multi-level Flash/EPROM Memories: New Self-convergent Programming Methods for Low-voltage Applications", IEDM 95-271, IEEE (1995), pp. 271-274.						
<u>MP</u>	International Search Results & Annex (Communication relating to PCT/US98/15657)						
EXAMINER				DATE CONSIDERED <u>4/84</u>			

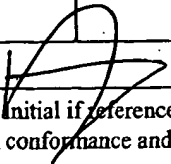
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U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Serial No. <b>10/274,737</b>		
				M-10249-15C US		<del>Unassigned</del>		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s)				
(Use several sheets if necessary)				Daniel C. Guterman et al.				
<b>COPY</b>				Filing Date		Group <b>2822</b>		
				Herewith		<del>Unassigned</del>		
<b>U.S. Patent Documents</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
<b>MP</b>	AA	4,112,507	9/5/1978	White et al.	_____	_____	_____	
<b>MP</b>	AB	5,021,999	6/4/1991	Kohda et al.	_____	_____	_____	
<b>MP</b>	AC	5,159,570	10/27/1992	Mitchell et al.	_____	_____	_____	
<b>MP</b>	AD	5,364,806	11/15/1994	Ma et al.	_____	_____	_____	
<b>MP</b>	AE	5,412,600	5/2/1995	Nakajima	_____	_____	_____	
<b>MP</b>	AF	5,414,693	5/9/1995	Ma et al.	_____	_____	_____	
<b>MP</b>	AG	5,757,044	5/26/1998	Kubota	_____	_____	_____	
<b>Foreign Patent Documents</b>								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AH							
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
<b>MP</b>	AI	IBM Technical Disclosure Bulletin, "Vertical EEPROM Cell," Vol. 35, No. 4B, September 1992, pp. 130-131.						
	AJ							
	AK							
Examiner		Date Considered <b>4/104</b>						
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				M-10249-15C US		<del>Unassigned</del>		
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(Use several sheets if necessary)				Daniel C. Guterman et al.				
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				Herewith		<del>Unassigned</del>		
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
<u>MP</u>	AA	5,776,810	Jul. 7, 1988	Guterman et al.	_____	_____	_____	
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
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<b>Notice of References Cited</b> <b>COPY</b>	Application/Control No. 10/236,408	Applicant(s)/Patent Under Reexamination GUTERMAN ET AL	
	Examiner Stephen D. Meier	Art Unit 2822	Page 1 of 1

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5293331	03-1994	Hart et al	<u>          </u>
	B	US-5313427	03-1994	Schreck et al	<u>          </u>
	C	US-5376571	12-1994	Bryant et al	<u>          </u>
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

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	N					
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	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
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 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Application No.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				SNDK.138USF (formerly M-10249-15C US)		10/236,408	
				Applicants		10/674,737	
(Use several sheets if necessary)				Daniel C. Guterman et al.			
COPY				Filing Date		Group	
				September 5, 2002		2822	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
MP	1	5,343,063	Aug. 30, 1994	Yuan et al.	—	—	—
Foreign Patent Documents							
							Translation
		Document	Date	Country	Class	Subclass	Yes No
MP	2	WO 97/22123	19 June 1997	WIPO	—	—	—
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
MP	3	IBM Technical Disclosure Bulletin, "Vertical EEprom Cell," Vol. 35, No. 4B, September 1992, pp. 130-131.					
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